

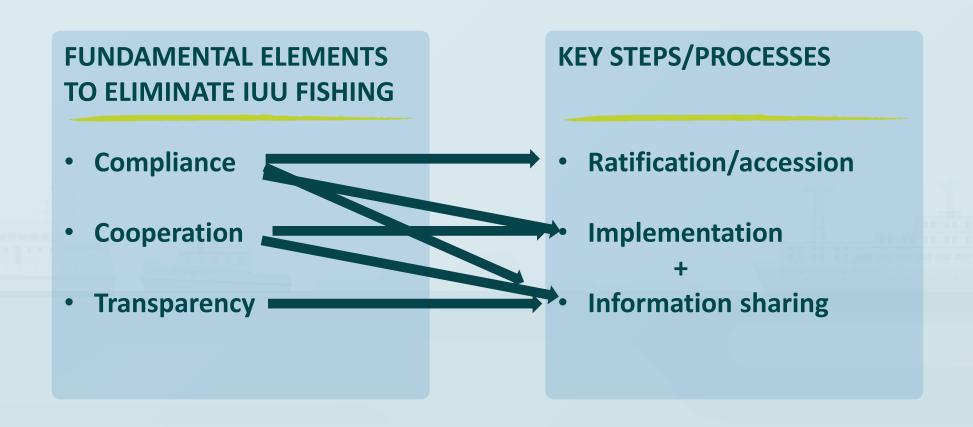
# **PSMA Global Information Exchange System (GIES)**

Interagency PSMA Awareness Workshop, Port Moresby, 28 June 2021

# **Contents**

- Purpose & background
- The PSMA APP: DPs and NCPs
- The GIES
  - Characteristics & components
  - Functionalities & Accessibility
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# Why is information sharing important?



Information exchange network

### **Agreement on Port State Measures**



PSMA entered into force in 2016; currently 69 Parties (including EU) >50% port States

(Electronic) information sharing → requirement! → GIES as facilitator

## **Information Exchange – step1**

### **PSMA**

- **Article 6 Cooperation and exchange of information**
- **Article 7 Designated Ports (DPs)**
- **Article 8 Advance request for port entry**
- **Article 9 Port entry, authorization or denial**
- **Article 15 Transmittal of inspection results (Parties/States, RFMOs, FAO and IOs)**
- **Article 16 Electronic exchange of information** 
  - 1. Info sharing mechanism coordinated by FAO
  - 2. Contact point (NCP)
  - 3. RFMOs to provide info on measures and decisions
- **Article 20 Role of flag States**
- Annex A Information to be provided in advance by vessels requesting port entry
- Annex C Report of the results of the inspection
- Annex D Information systems of port State measures

# **PSMA App**

### PSMA APP DP&NCPs

- Launched in June 2018
- Around 50% of Parties have provided info



- Designated Ports (DPs)
  - Article 7
  - 525 (as of 28 June 2021)
  - Only Parties
- National Contact Points (NCPs)  $\rightarrow$  Essential for electronic information exchange
  - Article 16
  - 54 (as of 28 June 2021)
  - Parties and non-Parties

# **Information Exchange – step2**

### **PSMA**

- **Article 6 Cooperation and exchange of information**
- **Article 7 Designated Ports (DPs)**
- **Article 8 Advance request for port entry**
- **Article 9 Port entry, authorization or denial**
- **Article 15 Transmittal of inspection results (Parties/States, RFMOs, FAO and IOs)**
- **Article 16 Electronic exchange of information** 
  - 1. Info sharing mechanism coordinated by FAO
  - 2. Contact point (NCP)
  - 3. RFMOs to provide info on measures and decisions
- Article 20 Role of flag States
- Annex A Information to be provided in advance by vessels requesting port entry
- Annex C Report of the results of the inspection
- Annex D Information systems of port State measures

### **PSMA GIES**

### Parties at MOP2 requested:

- GIES should be operational as soon as possible
- GIES as an integrated system
- Modular and phased implementation approach
- FAO to develop a prototype system by MOP3
- Port entry denial and inspection results as first step
- Take into account confidentiality requirements
- Work closely with RFMOs and other systems such as IMO GISIS, Equasis and Global Record

### **GIES** application



### **Characteristics:**

- Operational system: information exchange & data warehouse @FAO cloud
  - Application tests: Ecuador, New Zealand, Panama & Philippines
  - Connection test: NEAFC
- Web application
- Multilanguage, multi-tenancy, scalable
- User friendly: simple layout, type-ahead, pre-filled information

### Components

- Denial of entry or use of port
- Withdrawal of port denials
- Inspection report
- Flag State actions

# **GIES** application

### Functionalities

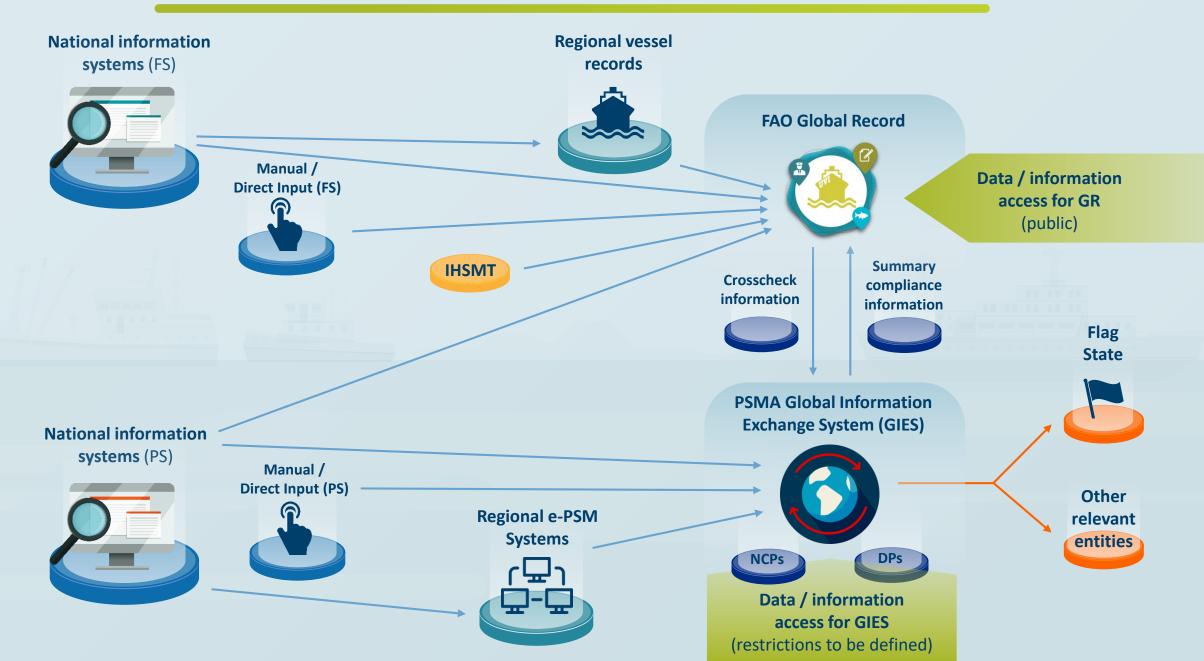
- Manual online forms
- Automated connection for near-real time exchange
  - FAO Global Record
  - Regional / National systems
  - Other
- Notifications (including non-Parties, as relevant)
- Search functionality

### Accessibility

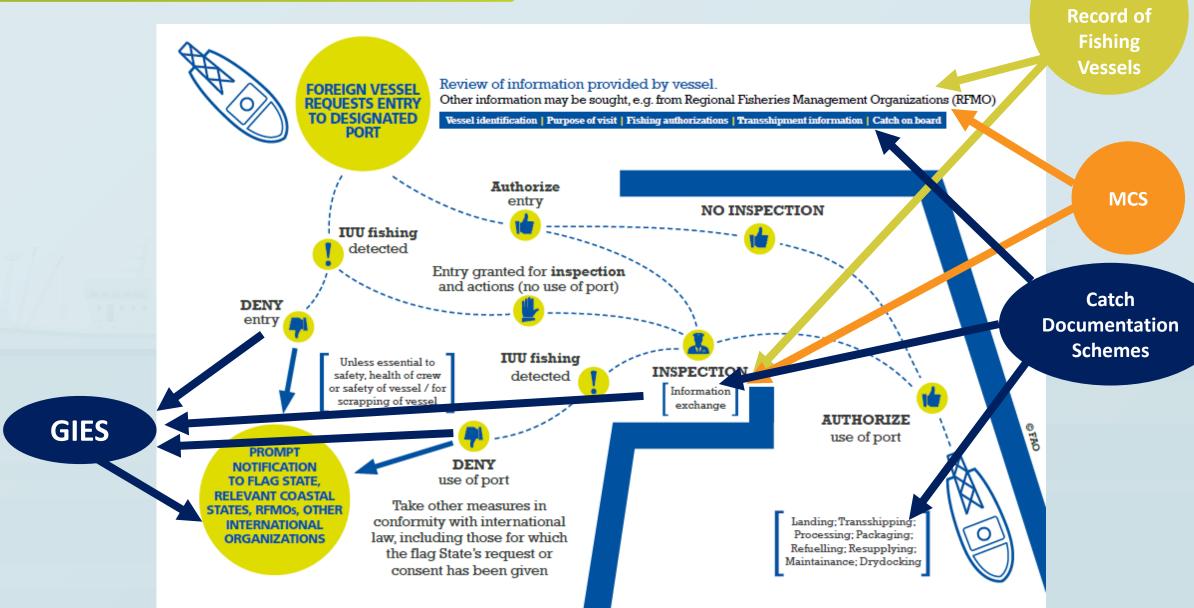
- Public: general information
- Limited access: only Parties; summary information (risk analysis)
- Full access: as per Article 15



# The PSMA Global Information Exchange System



## Implementation of the PSMA



The Global



### **PSMA GIES**

### Parties at MOP3 requested:

- GIES to enter a pilot phase
- Importance of NCPs and DPs
- Communications electronic means (Help Desk)
- Further enhancements including AREP
- TWG-IE in 2022 towards MOP4
- Possibility of updates to PSMA Annexes
- Data protection, confidentiality & interoperability
- GIES training programme and material
- Funding mechanism for long-term sustainability
- Strengthen info exchange with relevant
  organizations at regional and international level

# The way forward...



### **Process**

# **GIES versions**

1. Development & test

**GIES** beta

- **2. GIES webinars -** 17, 18 & 19 May 2021
- 3. Temporary access
- 4. MOP3 → decision operationalization GIES v1
- 5. Pilot phase
- **6. PSMA TWG-IE** TBD, Q2 2022
- 7. MOP4 Bali, Indonesia, May 2023

**GIES v2 - AREP & risk analysis** 



**DEMO...** (dummy data)